

TAC Recommendation Report

NPRR Number	089	NPRR Title	Changing Posting Requirement of Certain Documents From MIS Secure to Public Area
Timeline	Normal	Recommended Action	Approval
Date of Decision	March 6, 2008		
Protocol Section Requiring Revision	1.1, Summary of the ERCOT Protocols Document		
Proposed Effective Date	Upon Texas Nodal Market implementation		
Priority and Rank Assigned	Not applicable.		
Revision Description	This Nodal Protocol Revision Request (NPRR) changes the requirement to post "Other Binding Documents" from the Market Information System (MIS) Secure Area to the MIS Public Area.		
Overall Market Benefit	More transparency and accessibility in ERCOT documentation.		
Overall Market Impact	Impact is lessened by implementation.		
Consumer Impact	None.		
Credit Impacts	To be determined.		
Procedural History	<ul style="list-style-type: none"> ➤ NPRR089 was posted on 11/7/07. ➤ On 11/15/07, PRS considered this NPRR. ➤ On 12/20/07, the Transition Plan Task Force (TPTF) comments were posted. ➤ On 01/17/08, PRS considered this NPRR. ➤ On 02/21/08, the Impact Analysis (IA) was posted. ➤ On 02/21/08, PRS considered the Recommendation Report and IA. ➤ On 03/06/08, TAC considered this NPRR. 		
PRS Decision	<p>On 11/15/07, PRS unanimously voted to refer NPRR089 to TPTF. All Market Segments were present for the vote.</p> <p>On 01/17/08, PRS unanimously voted to recommend approval of NPRR089 as revised by TPTF comments.</p> <p>On 02/21/08, PRS unanimously voted to forward the Recommendation Report and IA to TAC for approval. All Market Segments were present for the vote.</p>		
Summary of PRS Discussion	<p>On 11/15/07, PRS noted that TPTF had not reviewed NPRR089.</p> <p>On 01/17/08, there was no discussion. On 02/21/08, there was no discussion.</p>		

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TAC Decision	On 03/06/08, TAC unanimously voted to recommend approval of NPRR089 as recommended by PRS. All Market Segments were present for the vote.
Summary of TAC Discussion	On 03/06/08, there was no discussion.

ERCOT/Market Segment Impacts and Benefits

Assumptions	1	<i>Original language was an oversight and implications were not intended.</i>	
	2	<i>No change to MIS Nodal budget if NPRR is implemented but a significant change in MIS scope if it is not implemented.</i>	
	3		
	4		
Market Cost		Impact Area	Monetary Impact
	1	<i>None.</i>	<i>No cost.</i>
	2		
	3		
Market Benefit		Impact Area	Monetary Impact
	1	<i>No additional access management efforts by IT and HR groups.</i>	<i>Unknown.</i>
	2		
	3		
Additional Qualitative Information	1		
	2		
	3		
	4		
Other Comments	1	<i>ERCOT believes that it was not the original intent of the language to disallow access to documents but is the result. Additional burdensome efforts would be required by both ERCOT and Market Participants to implement original language. Furthermore, a new Market Participant would not know the rules if it does not know where they are located.</i>	
	2		
	3		
	4		

Comments Received

Comment Author	Comment Summary
TPTF 122007	Proposed language changes.

Original Sponsor

Name	Curtis Crews
Company	ERCOT
Market Segment	N/A

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Proposed Protocol Language Revision

1 OVERVIEW

1.1 Summary of the ERCOT Protocols Document

- (1) The Electric Reliability Council of Texas (ERCOT) Protocols, created through the collaborative efforts of representatives of all segments of Market Participants, means the document adopted by ERCOT, including any attachments or exhibits referenced in these Protocols, as amended from time to time, that contains the scheduling, operating, planning, reliability, and settlement (including Customer registration) policies, rules, guidelines, procedures, standards, and criteria of ERCOT. To determine responsibilities at a given time, the version of the ERCOT Protocols in effect at the time of the performance or non-performance of an action governs with respect to that action. These Protocols are intended to implement ERCOT's functions as the Independent Organization for the ERCOT Region as certified by the Public Utility Commission of Texas (PUCT) and as the "Program Administrator" appointed by the PUCT that is responsible for carrying out the administrative responsibilities related to the Renewable Energy Credit Program as set forth in subsection (g) of P.U.C. SUBST. R. 25.173, Goal for Renewable Energy. Market Participants, the Wholesale Electric Market Monitor (WEMM), and ERCOT shall abide by these Protocols.
- (2) The ERCOT Board, Technical Advisory Committee (TAC), and other ERCOT subcommittees authorized by the Board or TAC ("ERCOT's Committees") or ERCOT staff may develop policies, guidelines, procedures, forms, and applications for the implementation of and operation under, these Protocols and to comply with applicable rules, laws, and orders of a Governmental Authority. A policy, guideline, procedure, form, or application described above is an "Other Binding Document" if it meets the requirements set forth below. ERCOT shall post all Other Binding Documents to a part of the MIS ~~Secure-Public~~ Area reserved for posting "Other Binding Documents;" ~~unless otherwise otherwise specifically required by specific these Protocols language, that which is reserved for posting of Other Binding Documents.~~ "Other Binding Documents" means:
 - (a) The Commercial Operations Market Guide, the Competitive Metering Guide, the Load Profiling Guide, The-the Operating Guides, the Operating Procedures, the Retail Market Guide, the Settlement Metering Operating Guides, the Power System Planning Charter and Process, the Texas SET Guides, the Texas Market Test Plan; and the ERCOT Creditworthiness Standards; and
 - (b) The policies, guidelines, procedures, forms; and applications ~~that~~ satisfying all the requirements listed below:

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- (i) Before the policy, guideline, procedure, form, or application takes effect, ERCOT must e-mail it to all affected registered Market Participants and ~~must~~ post it to the part of the MIS ~~Secure Public Area, unless otherwise specifically required by these Protocols language, that which is reserved for posting of Other Binding Documents unless otherwise specifically required by these Protocols.~~ ERCOT must use reasonable efforts to e-mail and post the policy, guideline, procedure, form, or application at least 30 days before it takes effect, but ERCOT must e-mail and post the policy, guideline, procedure, form, or application at least 15 Business Days before it takes effect, unless ERCOT reasonably determines that an urgent circumstance necessitates a shorter notice period or the ERCOT Board approves a shorter notice period.
 - (ii) If either the e-mail or posting under Section 1.1(2)(b)(i) occurs less than 30 days before the policy, guideline, procedure, form, or application takes effect, ERCOT must include in the e-mail and posting an explanation of why it was not able to give 30 days' advance notice before the policy, guideline, procedure, form, or application takes effect.
 - (iii) ERCOT must label the policy, guideline, procedure, form, or application at the top of its first page with the words "Other Binding Document under Section 1.1 of the Protocols."
 - (iv) The policy, guideline, procedure, form, or application must expressly state how long it will be in effect.
- (3) Any revision of an Other Binding Document must follow the revision process set forth in that Other Binding Document. If an Other Binding Document does not specify a revision process, the requirements of Section 1.1(2)(b)(i) through (iv) apply to any revision of that Other Binding Document. To the extent that Other Binding Documents are not in conflict with these Protocols or with an Agreement to which it is a party, each Market Participant, the WEMM, and ERCOT shall abide by the Other Binding Documents.
- (4) Taken together, these Protocols and the Other Binding Documents constitute all of the "scheduling, operating, planning, reliability, and settlement policies, rules, guidelines, and procedures established by the independent system operator in ERCOT," as that phrase is used in subsection (j) of the Public Utility Regulatory Act, TEX. UTIL. CODE ANN. § 39.151 (Vernon 1998 & Supp. 2003) (PURA), Essential Organizations, that bind Market Participants.
- (5) Except as provided below, if the provisions in any attachment to these Protocols or in any of the Other Binding Documents conflict with the provisions of Protocols Section 1, Overview, through Section 21, Process for Protocols Revision, Section 23, Texas Plan Team - Retail Market Testing, through Section 24, Point to Point Communications, then the provisions of Protocols Section 1 through Section 21, Section 23 through Section 24 prevail to the extent of the inconsistency. If any provision of any Agreement conflicts with any provision of the Protocols, the Agreement prevails to the extent of the conflict.

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Any Agreement provision that deviates from the standard form for that Agreement in Section 22 must expressly state that the Agreement provision deviates from the standard form in Section 22. Agreement provisions that deviate from the Protocols are effective only upon approval by the ERCOT Board on a showing of good cause.

- (6) These Protocols are not intended to govern the direct relationships between or among Market Participants except as expressly provided in these Protocols. ERCOT is not responsible for any relationship between or among Market Participants to which ERCOT is not a party.